



## INFORMATION DISCLOSURE STATEMENT

Applicant : Hosheng Tu, et al.  
 App. No. : 10/706,300  
 Filed : November 12, 2003  
 For : GLAUCOMA IMPLANT WITH  
THERAPEUTIC AGENTS  
 Examiner : Unknown  
 Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

November 24, 2003

(Date)

James W. Hall, M.D., Reg. No. 46,396

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing two hundred nine (209) references, fifty-five (55) of which are enclosed herewith. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Identification herein is not an admission that any of the foregoing is prior art to the above-identified application.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 24, 2003

By:

James W. Hall, M.D.  
 Registration No. 46,396  
 Attorney of Record  
 Customer No. 20,995  
 (949) 760-0404

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  NOV 26 2003 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GLAUKO.1c3CP1	APPLICATION NO. 10/706,300
	APPLICANT Hosheng Tu, et al.	
	FILING DATE November 12, 2003	GROUP ART UNIT Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	RE 35,390	12-03-1996	Stewart G. Smith			
2	3,788,327	01-29-1974	Howard Donowitz, et al.			
3	4,037,604	07-26-1977	John B. Newkirk			
4	4,168,697	09-25-1979	Erdem I. Cantezin			
5	4,175,563	11-27-1979	Irving K. Arenberg, et al.			
6	4,402,681	09-06-1983	Joseph S. Haas, et al.			
7	4,428,746	01-31-1984	Antonio Mendez			
8	4,501,274	02-26-1985	Finn Skjaerpe, et al.			
9	4,521,210	06-04-1985	Vernon G. Wong			
10	4,554,918	11-26-1985	Thomas C. White			
11	4,583,224	08-01-1989	Wong			
12	4,604,087	08-05-1986	Neil H. Joseph			
13	4,632,842	12-30-1986	Theodore Karwoski, et al.			
14	4,634,418	01-06-1987	Perry S. Binder			
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16	4,722,724	02-02-1988	Stanley Schocket			
17	4,733,665	03-29-1988	Julio C. Palmaz			
18	4,750,901	06-14-1988	Anthony C. B. Molteno			
19	4,787,885	11-29-1988	Perry S. Binder			
20	4,804,382	02-14-1989	Marko Turina, et al.			
21	4,820,626	04-11-1989	Stuart K. Williams, et al.			
22	4,846,172	07-11-1989	Michael S. Berlin			
23	4,863,457	09-05-1989	David A. Lee			
24	4,883,864	11-28-1989	Scholz			
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26	4,900,300	02-13-1990	David A. Lee			
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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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29	4,968,296	11-06-1990	Robert Ritch, et al.			
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33	5,092,837	03-03-1992	Robert Ritch, et al.			
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35	5,127,901	07-07-1992	Odrich			
36	5,129,895	07-14-1992	Vassiliadis, et al.			
37	5,164,188	11-17-1992	Wong			
38	5,171,213	23-15-1992	Francis W. Price, Jr.			
39	5,178,604	01-12-1993	Baerveldt, et al.			
40	5,180,362	01-19-1993	J. G. F. Worst			
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44	5,318,513	06-07-1994	Leib, et al.			
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51	5,372,577	12-13-1994	Bruce Ungerleider			
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FORM PTO-1449 <i>OCT 12 2003</i> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>NOV 26 2003</i> (USE SEVERAL SHEETS IF NECESSARY)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.1c3CP1	APPLICATION NO. 10/706,300
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55	5,454,796	10-03-1995	Theodore Krupin			
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60	5,520,631	05-28-1996	Nordquist, et al.			
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62	5,558,629	09-24-1996	Baerveldt, et al.			
63	5,558,630	09-24-1996	Bret Fisher			
64	5,562,641	10-08-1996	Flomenblit, et al.			
65	5,601,094	02-11-1997	George Reiss			
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67	5,626,558	05-06-1997	John Suson			
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99	5,932,299	08-03-1999	Mohammad W. Katoot			
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102	6,004,302	12-21-1999	Lawrence A. Brierley			
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104	6,007,511	12-28-1999	Arnold S. Prywes			
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112		6,071,286	06-06-2000	Michel Mawad			
113		6,077,299	06-20-2000	Adelberg, et al.			
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130		6,268,398	07-31-2001	Ghosh, et al.			
131		6,299,895	10-09-2001	Hammang, et al.			

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U.S. PATENT DOCUMENTS							
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	137	6,428,501	08-06-2002	Michael Reynard			
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	139	6,450,984	09-17-2002	Lynch, et al.			
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	142	6,544,249	04-08-2003	Dao-Yi-Yu, et al.			
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	146	6,638,239	10-28-2003	Olav Bergheim, et al.			
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	150	US2002/0099434 A1	07-25-2002	Buscemi, et al.			
	151	US2002/0133168 A1	09-19-2002	Gregory T. Smedley, et al.			
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P A T E N T & T R A D E M A R K S

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
155	WO 89/00869	02-09-1989	PCT				
156	WO 91/18568	12-12-1991	PCT				
157	WO 92/19294	11-12-1992	PCT				
158	WO 94/13234	06-23-1994	PCT				
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165	WO 99/38470	08-05-1999	PCT				
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167	QO 00/64389	11-02-2000	PCT				
168	WO 00/64390	11-02-2000	PCT				
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FORM PTO-1449 <i>JC 91 33</i> O 12 NW 26 2003	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GLAUKO.1c3CP1	APPLICATION NO. 10/706,300
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						YES	NO
181	EP 1 114 627	11-07-2001	Europe				
182	EP 0 898 947	03-03-1999	Europe				
183	GB 2 296 663	03-01-1995	United Kingdom				
184	FR 2 710 269	09-22-1993	France				
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186	Jacobi et al., <i>Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma</i> , American Journal of Ophthalmology, May 1999, Vol. 127, No. 5, Pages 505-510.	
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188	Jacobi et al., <i>Microendoscopic Trabecular Surgery in Glaucoma Management</i> , American Journal of Ophthalmology, March 1999, Vol. 106, No. 3, Pages 538-544.	
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190	Hill et al., <i>Free-Electron Laser (FEL) Ablation of Ocular Tissues</i> , Lasers Med Sci, 1998, Vol. 13, Pages 219-226.	
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193	Hill et al., <i>Laser Trabecular Ablation (LTA)</i> , Lasers in Surgery and Medicine, 1991, Vol. 11, Pages 341-346.	
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195	L.J. Katz, <i>A Call for Innovative Operations for Glaucoma</i> , Arch. Ophthalmol, March 2000, Vol. 118, Pages 412-413.	
196	Detlev Spiegel, <i>Benefits and Risks of Ophthalmological Therapy: 7 Surgical Glaucoma Therapy</i> , Main Presentations at the XXXIII Continuing Education for Ophthalmologists in Essen (Germany), published December 1998.	
197	Hoerauf et al., <i>Slit-lamp-adapted optical coherence tomography of the anterior segment</i> , Graefe's Arch Clin Exp Ophthalmol (2000), Vol. 237, Pages 8-18.	
198	Radhakrishnan et al., <i>Real-Time Optical Coherence Tomography of the Anterior Segment at 1310 nm</i> , Arch Ophthalmol, August 2002, Vol. 119, Pages 1179-1185.	
199	Grierson et al., <i>Age-related Changes in the Canal of Schlemm</i> , Eye Res. (1984) Vol. 39, Pages 505-512.	
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
201	K. Strange, <i>Cellular and Molecular Physiology of Cell Volume Regulation</i> , CTC Press, Inc: 1994, Pages 311-321.		
202	Tatton et al., <i>Maintaining Mitochondrial Membrane Impermeability: An opportunity for New Therapy in Glaucoma?</i> , Survey of Ophthalmology May 2001, Vol. 45, Supplement 3, Pages S277-283.		
203	R.W. Nickells, <i>Apoptosis of Retinal Ganglion Cells in Glaucoma: An Update of the Molecular Pathways Involved in Cell Death</i> , Survey of Ophthalmology June 1999, Vol. 43, Supplement 1, Pages S151-161.		
204	J.W. Rohen, <i>Anatomy of the aqueous outflow channels</i> , Glaucoma: Volume 1, Grune & Stratton: 1986. Pages 277-296.		
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